

Committee on Ways and Means
Witness Disclosure Requirement – “Truth in Testimony”
Required by House Rule XI, Clause 2(g)

Your Name: GREGORY J. HAYES		
1. Are you testifying on behalf of a Federal, State, or Local Government entity? a. Name of entity(ies). b. Briefly describe the capacity in which you represent this entity.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
2. Are you testifying on behalf of any non-governmental entity(ies)? a. Name of entity(ies). UNITED TECHNOLOGIES CORPORATION b. Briefly describe the capacity in which you represent this entity. SENIOR VICE PRESIDENT & CHIEF FINANCIAL OFFICER	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
3. Please list any Federal grants or contracts (including subgrants or subcontracts) which <u>you have received</u> during the current fiscal year or either of the two previous fiscal years: NONE IN MY PERSONAL CAPACITY – SEE 6. BELOW		
4. Please list any offices or elected positions you hold. NONE		
5. Does the entity(ies) you represent, other than yourself, have parent organizations, subsidiaries, or partnerships you are not representing?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
6. Please list any Federal grants or contracts (including subgrants or subcontracts) which were received by the entity(ies) you represent during the current fiscal year or either of the two previous fiscal years, which exceed 10 percent of entity(ies) revenues in the year received. Include the source and amount of each grant or contract. Attach a second page if necessary. FOR THE U.S. GOVERNMENT FISCAL YEAR ENDING SEPTEMBER 30, 2010, UNITED TECHNOLOGIES CORPORATION (UTC) IS LISTED BY THE DEPARTMENT OF DEFENSE AS THE 9TH LARGEST DOD CONTRACTOR, AND WAS LISTED AS THE 6TH OVERALL LARGEST USG CONTRACTOR IN GFY2009. INDIVIDUAL CONTRACTS AND GRANTS ARE TOO NUMEROUS TO LIST INDIVIDUALLY. UTC’S TOTAL SALES TO THE U.S. GOVERNMENT WERE \$9.9 BILLION, \$9.3 BILLION, AND \$8.0 BILLION IN 2010, 2009, AND 2008, RESPECTIVELY, REPRESENTING 18% OF TOTAL UTC SALES IN 2010 AND 2009, AND 14% IN 2008.		